NATIONAL INVESTMENT TRUST LIMITED

Sr. No. _____

TRANSMISSION FORM **NIT-C**

DATE (DD / MM / YY): | | | | | | |

Please select appropriate Fund:

1.☐ NI(U)T Fund **2.☐ NIT Government Bond Fund** **3.☐ NIT Income Fund**

I, the undersigned, being _____ to the estate of the late _____

A/c. No(s). _____ Total No. of Units _____ { _____ Electronic Units}

Certificate No(s). if issued in Physical Form: _____ (and/or as per list attached)

in accordance with the _____ issued by _____. I hereby agree to accept and take the said Units in electronic form and shall accept unconditionally the Trust Deed and any Supplement Trust Deed(s) and all NIT policies which may be executed pursuant to this transaction. My particulars are as under:

Account No.: | | | | | | | | ☐ **Existing Account** ☐ **New Account (see notes below)**

Branch/Distributor: _____

Note: In case of transfer of units to an existing account maintained at the issuing office, account title of Transferee(s) must be same for both the accounts. For institutional account holders, title of both accounts and authorized signatories must remain same.

OPTIONS FOR NEW ACCOUNT: Tick (√) whichever is applicable

Selection of Option - For details please read the Offering Document(s)/Product Information and consult your investment advisor.

Dividend Option: a. ☐ Cash Dividend b. ☐ CIP – Reinvestment

Pay dividend income derived from:

i. ☐ Share of Companies ii. ☐ Morabaha iii. ☐ Leasing iv. ☐ TFC v. ☐ PLS Deposits vi. ☐ Others vii. ☐ All

a. ☐ CLASS "A" - GROWTH UNITS

Dividend Option for Growth Units:*

a.i. ☐ Cash **a.ii. ☐ Bonus**

b. ☐ CLASS "B" - INCOME UNITS

b.i. ☐ Flexible Income Units

b.ii. ☐ Fixed Income Units (Specify fixed amount)

Amount for Fixed Income Units: Amount in Figures: _____

Amount in Words: _____

(In case of Income Units, only "Bonus" will be issued against distribution)

Regular Interval for "Class B" Income Units:

i. ☐ Monthly ii. ☐ Quarterly iii. ☐ Semi-annually iv. ☐ Annually

GENERAL OPTIONS:

☐ **Bank Mandate:** Name of Bank: _____ Account Number: _____

Branch Address: _____

☐ **Non-deduction of Zakat (Attach valid declaration form)**

Declaration

1. I/We shall not claim Repatriation from Pakistan of dividends and sale proceeds of the units except as permissible under the rules of State Bank of Pakistan or Ministry of Finance, Government of Pakistan.
2. I/We have read and understood the Terms & Conditions of the Trust Deed, Offering Document and notes as mentioned below, and hereby agree to abide by all such documents and policies which may be executed pursuant to this transaction.
3. I/We hereby confirm and ratify that all the information provided in this form is true and correct.

Signature of Legal Heir	**Signature Verification by a Justice of Peace or Magistrate or Oath Commissioner**
Name : _____ **CNIC:** _____	

NOTES:

1. This Transmission Form must be accompanied by documents of entitlement such as Probate, Letters of Administration, True Copy of Succession Certificate or Certified Copies of such documents, CNIC copy of legal heir and provide necessary information/documents in compliance to CDD/KYC Policy.
2. If the Successor is a minor, the claim must be made on his/her behalf by a properly constituted guardian of his/her property. The Guardian Certificate must be produced with this form and must empower the Guardian to deal in the Units.
3. In case of transmission of units to an existing account maintained at the issuing office, account titles of legal heir must be same.
4. Legal Heirs(s) must fill in and sign respective 'Customer Due Diligence (CDD)/ Know Your Customer (KYC) & Registration Form' and provide necessary information/documents in compliance of CDD/KYC Policy (if not provided earlier).

***Dividend Option for Growth Units:** Dividend / Bonus against NIT GBF and NIT IF will be issued as opted by the unit holder holding **Growth Units**, however, only **Bonus Units** shall be issued in case of **Income Units** (i.e. **Flexible** and **Fixed** Units) in both Funds. In case, unit holder does not opt for cash dividend or bonus option against **Growth Units** then NIT will issue units under **Reinvestment**, as per clause 7.4 of Offering Document of relevant Fund, which will be subject to Tax, as per applicable law.

FOR OFFICIAL USE ONLY

DATE (DD / MM / YY): | | | | | | |

TIME: _____ : _____ AM / PM

Form reviewed and checked by:

TR. NO.: _____

Branch Stamp & Signature of the Branch Manager / Authorized Official: